FORM PTO-1449 MATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.: 1842-0029	APPLICATION NO. 10/749,822
APPLICANT: Pafford et al.	
FILING DATE: December 31, 2003	GROUP ART UNIT: 3731

			U.S.	PATENT DOCUMENTS	_		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
111/1	AA	4,946,458	08/07/1990	Harms et al.			
	AB	5,041,113	08/20/1991	Biedermann et al.			
V	AC	5,042,982	08/27/1991	Harms et al.			
mi	ή AD	5,207,678	05/04/1993	Harms et al.			
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	FILING DATE: December 31, 2003	GROUP ART UNIT: 3731
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MICH	AA	5,67	2,175	09/30/1997	Martin				
1	AB	6,62	6,944	09/30/2003	Taylor	•			
mich	AC	2003	3/0055427	03/20/2003	Graf	····			
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mch	AL	wo	01/45576	28 June 2001	PCT		-	-	Yes No
mch	AM	wo	02/102259	27 December 200	2 PCT				Yes No
	AN								Yes No
	AO		· · · · · · · · · · · · · · · · · · ·						Yes No
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FILING DATE: December 31, 2003

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FILING DATE: December 31, 2003	GROUP ART UNIT: 3731

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mich	_AA-	6,241,730	June 5, 2001	Alby	-606	61	06/05/2001
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INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
Mh	AA	4,743,260	05/10/1988	Burton			
1	AB	4,805,602	02/21/1989	Puno et al.		-	
	AC	4,836,196	06/06/1989	Park et al.			
	AD	5,002,542	03/26/1991	Frigg			
	AE	5,011,484	04/30/1991	Breard	-		
	AF	5,092,866	03/03/1992	Bread et al.			
	AG	5,167,664	12/01/1992	Hodorek			
1/	AH	5,180,393	01/19/1993	Commarmond			
V/	Al	5,207,678	05/04/1993	Harms et al.			
Y	ĀJ	5,209,751	05/11/1993	Farris et al.			
MU	AK	5,219,349	06/15/1993	Krag et al.			
	,		FOREIGN	PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	TRANSLATION
MIL	AL	EP 0 381 588 B2	03/05/2000	Breard et al.			Yes No
mil	AM	WO 02/24087	28/03/2002	Kalaitzis et al.			Yes No
	AN						Yes No
	AO						Yes No
	АР						Yes No
		OTI	IER (Including Au	thor, Title, Date, Pertinent Pages, etc.	:.)		
Mh	AQ	1 Mulholland, Soft Stabiliza	Robert C. and Seng tion", EUR Spine J	upta, Dilip K., "Rational, Principles an (2002) 11 (Suppl.2): S198-S205, ©Sp	d Experiment ringer-Verlag	al Evaluation 2002, (8 pag	of the Concept of es).
	AR			mentation by Non-Rigid Fixation in Do (2002) 11 ((Suppl. 2): S164-S169, ©S			
Mil	AS			Henry H., Frymoyer, John, and Kahn, rune & Stratton, Inc. 1986, (19 pages).	Alfred, "The l	Jnstable Spin	e (Thoracic,
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Mich	BA	5,242	2,446	09/07/1993	Steffee et al.			
1	BB	5,26	1,912	11/16/1993	Frigg			
	BC	5,34	4,422	09/06/1994	Frigg			
	BD	5,36	3,841	11/15/1994	Coker			
	BE	5,48	5,174	01/23/1996	Fournet-Fayard et al.			
	BF	5,50	1,684	03/26/1996	Schlapfer et al.			
	BG	5,54	0,688	07/30/1996	Navas			
	ВН	5,54	5,163	08/13/1996	Miller et al.			
	Bl	5,56	2,737	10/08/1996	Graf			
W	BJ	5,59	1,165	01/07/1997	Jackson			
Min	BK	5,610	6,143	04/01/1997	Schlapfer et al.			
7.10			···	FOREIG	N PATENT DOCUMENTS			
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MIL	BQ	2			Stabilization, or Augmentation", November 15, 2		oinal Stabiliza	tion Company,
	BR	2	"Stability And	alysis of an Enhanced	Goel, Vijay K., Grobler, Leon J., Grost Load Sharing Posterior Fixation Devi p. 2206-2213, © Lippincott, Williams	ce and Its Equivalent (Conventional De	
Mu	BS	2	Various Abs	stracts of Articles of	n the "X-Stop" Interspinous Space	er, Various Authors,	date unknowr	1.
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1111/	CA	5,62	8,740	05/13/1997	Mullane	حسسنه		7
	СВ	5,70	7,372	01/13/1998	Errico et al.			
	СС	5,78	2,831	06/21/1998	Sherman et al.			
	CD	5,96	1,516	10/05/1999	Graf			
1	CE	6,00	4,323	12/21/1999	Park et al.			
	CF	6,01	0,507	01/04/2000	Rudloff			
1	CG	6,01	5,409	01/18/2000	Jackson			
1/	СН	6,01	7,345	01/25/2000	Richelsoph			
11	CI	6,08	3,229	07/04/2000	Constantz et al.			
2001	CJ	6,08	6,590	07/11/2000	Margulies et al.			
MIN	СК	6,12	3,706	09/26/2000	Lange			
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	СМ							Yes No
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	СР					-		Yes No
			OTH	IER (Including Au	thor, Title, Date, Pertinent Pages, e	etc.)	-L	
Swanson, Kyle E., Lindsey, Derek P., Hsu, Ken Y., Zucherman, James F. and Yerby, Scott A., "The Effect of Interspinous Implant on Intervertebral Disc Pressures", SPINE, Vol. 28, No. 1, pp. 26-32, ©Lippincott, Williams & Wilkins, Inc., (9 pages).								
CR Garner, Matthew D., Wolfe, Steven J., Kuslich, Stephen D., "Development and Preclinical Testing of a New Tension-Band Device for the Spine: The Loop System", Eur Spine J (2000) 11 (Suppl. 2): S186-191, (6 pages).								
CS 3 "The Concept of "Flexible Stabilization" (Dynamic Spine Stabilization)", The Burton Report©, file://C:\WINDOWS\TEMP triDIMLM.htm, 04/29/03, (3 pages).								
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U.S. PATENT DOCUMENTS								
EXAMINER INITIAL			CUMENT 1BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
111116	DA	6,149	9,655	11/21/2000	Constantz et al.			
1000	DB	6,179	9,838	01/30/2001	Fiz			
	DC	6,20	5,882	03/27/2001	Cohen			
	DD	6,214	1,004	04/10/2001	Coker			
	DE	6,22	1,597	05/01/2001	Coker			
	DF	6,39	1,030	05/21/2002	Wagner et al.			
	DG	6,432	2,109	08/13/2002	Letendart et al.			
1.1	DH	6,514	1,225	02/04/2003	Гептсе			
	DI	6,55	1,320	04/22/2003	Lieberman			
100	DJ	6,55	8,387	05/06/2003	Errico et al.			
11111	DK	6,57	5,975	06/10/2003	Brace et al.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
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	DO							Yes No
	DP							Yes No
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	DQ	4	"WALLIS", S	Spine Next, Evolue	Autrement, http://www.spinenext.com	, (1 pages).		
			(No	t-conside	red)			
MU	DR 4 Senegas, Jacques, "Senegas System: Concept of Posterior Stabilisation: Mechanical Supplementation by Dynaminc Fixation in Degenerative Intertebral Lumbar Segments: The Wallis System", (2 pages).							
Ma	DS 4 Suzuki, Kane, Mochida, Joji, Chiba, Masahiro and Kikugawa, Hisao, "Posterior Stabilization of Degenerative Lumbar Spondylolisthesis with a Leeds-Keio Artificial Ligament: A Biomechanical Analysis in a Porcine Vertebral Model", (10 pages).							
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MIL	-EA	6,583	2,431	06/24/2003	Ray			1	
7776	EB	6,613	3,050	09/02/2003	Wagner et al.				
	EC	6,610	5,669	09/09/2003	Ogilvie et al.	1			
i	ED	2002	/0019637	02/14/2002	Frey et al.				
i	EE	2002	/0068937	06/06/2002	Kuntz			- 	
	EF	2002	/0103487	08/01/2002	Errico et al.				
17	EG	2002	/0138077	09/26/2002	Fеrree Ferree				
1	ЕН	2002	/0165550	11/07/2002	Frey et al.				
41/	El	2002	/0183745	12/05/2002	Kuntz				
V	EJ	2003	/0004511	01/02/2003	Ferree	—			
Milh	EK	2003	/0028196	02/06/2003	Bonutti				
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Mch	EQ	<u>5</u>	Mochida, Joj	i, Suzuki, Kane, Ch nesis", Clinical Ont	iba, Masahiro, "How to Stablize a Sing nopaedics and Related Research, No. 30	le Level Lesio			
	ER 5 Taylor, Jean, "Minimal Access for Interspinous Stabilisation", (6 pages).								
Mch	Caserta,S., La Maida, G.A., Misaggi, B., Peroni,D., Pietrabissa,R., Raimondi, M.T., Redaelli, A., "Elastic Stabilization Alone or Combined with Rigid Fusion in Spinal Surgery: A Biomechanical Study and Clinical Experience based on 82 cases", Eur Spine J (2002) 11(Suppl.2):S192-S197, ©Springer-Verlag 2002, (6 pages).								
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U.S. PATENT DOCUMENTS										
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	FM								Yes No	
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MIL	FQ .	<u>6</u>	Comparison	with Posterolatera	w, Terrence, Hillier and Frase I Fusion in the Management web.cgi, May 20, 2003, (14 p	of Low Back		nentoplasty I	Procedure:	
	FR 6 Konno, Shinichi and Kikuchi, Shinichi, "Prospective Study of Surgical Treatment of Degenerative Spondylolisthesis: Comparison Between Decompression Alone and Decompression with Graf System Stabilization", SPIN, Vol. 25 (12), June 15, 2000, (10 pages).									
Mch	FS 6 Gardner, Alan and Pande Ketan, "Graf Ligamentoplasty: A 7-Year Follow-Up, Eur Spine J (2002) 11 (Suppl.2): S157-S163, ©Springer-Verlag 2002, (7 pages).									
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	HN	1					_		Yes No
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	HP						_		Yes No
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Mich	HQ 7 Nydegger, T. and Dubois, G., "Dynamic Neutralisation System for the Spine: Biomechanical Aspects", (1 page).								
	HR	2	Eberlein, Robert, Holzapfel, Christian A, and Schulze-Bauer, A.J., "Assessment of a Spinal Implant by Means of Advanced FE Modeling of Intact Human Intervertebral Discs", WCCM VJuly 7-12, 2002, (14 pages).						
MOL	нs	7	Stoll, Thomas M., Dubois, Giles, Schwarzenbach, Othmar, "The Dynamic Neutralization System for the Spine: A Mülti-Center Study of a Novel Non-Fusion System", European Spine Journal, http://link.springer-ny.com/link/service/journals/00586/contents/02/00438/paper//s00586-002 , (18 pages).						
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	IM	1						Yes No	
	IN	1						Yes No	
	10	+						Yes No	
	IP	+				_		Yes No	
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MCh	IQ 8 Freudiger, S., Dubois, G. and Lorrain, M., "Dynamic Neutralisation of the Lumbar Spine Confirmed on a New Lumbar Spine Simulator in Vitro", Arch Orthop Trauma Surg (1999): 119:127-132, @Springer-Verlag -1999, (6 pages).								
	IR	8	Rauchnung, Wolfgang, "Design Rational and Implantation of Dynesis", (2 pages).						
Man	IS	<u>8</u>	Centerpulse, Centerpulse Spine-Tech, http://www.centerpulse.com/centerpulse/Investors/NewsQuotes/?view=6340 , 04/29/2003, (2 pages).						
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	APPLICANT: Pafford et al.	

FILING DATE: December 31, 2003 GROUP ART UNIT: To be Assigned

				U.S. P.	ATENT DOCUMENTS			
EXAMINER INITIAL			CUMENT MBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	JA							
	JB	1						
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EXAMINER INITIAL			CUMENT MBER	DATE	NAME	CLASS	SUB- CLASS	TRANSLATION
	JL							Yes No
	JM							Yes No
	N							Yes No
	ìO							Yes No
	JP						**********	Yes No
	<u> </u>		OTI	HER (Including Au	ithor, Title, Date, Pertinent Pages, etc.	:.)		
My	Sengupta, Dilip K., Mulholland, Robert C., Herkowitz, Harry N., Hochschuler, Stephen H., "Loads Sharing Characteristics of Two Novel Soft Stabilization Devices in the Lumbar Motion Segments: A Biomechanical Study in Cadaver Spine", date unknown, (3 pages).							
7	JR	2						
	JS	9						
EXAMINER	11	1/1	ME	HITT	nan McL	DATE CONS	IDERED /	1/6/06
EXAMINER: In	nitial if r	eference	considered, w	hether or not citation	on is in conformance with MPEP 609.	Draw line thro	ugh citation i	f not in